

# KYC (Know Your Client) Requirements

We have the responsibility to regulators for compliance in regard to global anti-money laundering (AML) regulations. For this reason, traders are required to submit proof of identity.

The following documents must be received to open an account:

## **INDIVIDUAL and JOINT ACCOUNTS**

- (i) **Proof of Identification:** Sterling Gent Trading Ltd accepts valid passports, valid driver's licences or other valid government issued photographic identification (showing photograph, personal details, signature, date and place of issue and serial number), and;
- (ii) **Proof of Residence:** a separate document such as a recent (within the last 3 months) utility bill (gas, water, electricity, or fixed phone bill) or bank statement that verifies the applicant's address.

## **CORPORATE ACCOUNTS**

- (i) a certified\* copy of Certificate of Incorporation;
- (ii) a certified copy of the Memorandum and Articles of Association or Bye Laws
- (iii) a Certificate of Incumbency listing the directors and officers of the Company
- (iv) a certified list of all shareholders who own in excess of 10% of the shares of the Company
- (v) verification of the names and addresses of the directors, officers, shareholders and authorised signatories by provision of:
  - a certified Proof of Identification: Passports are preferred ID and;
  - a certified copy or original of a separate document such as a recent (within the last 3 months) utility bill (gas, water, electricity, or fixed phone bill) or bank statement that verifies the individual's address.

\*The certified documents must be certified by a professional person such as a lawyer, notary or accountant or official entity such as an embassy or government ministry.

**Note:**

- ALL documents are to be clear and **IN COLOUR**.
- Passports are preferred ID. For all other identification documents (e.g. Driving License, ID Card) both the front and the back side of the document should be submitted.
- All documents should be clear and made with a high resolution.
- Respective documents CANNOT be used for both proof of identity and proof of address.

SGT will not disclose and or share any of your information to third parties without your consent except in the event we are required to do so by a regulatory authority under the applicable jurisdiction, by court and/or to enable us to provide you with our services. The latter includes but is not limited to members of the Sterling Gent group of companies, IT service providers, payment services providers, banks and liquidity providers. Where we disclose and/or share any of your information we will take all reasonable steps to do so in a secured manner.

\*\*SGT does NOT accept business from USA, Afghanistan, Bosnia and Herzegovina, Cuba, Eritrea, Ethiopia, Iran, Iraq, Kyrgyzstan, Lao PDR, Libya, North Korea, South Sudan, Sudan, Syria, Vanuatu, Yemen or Uganda.\*\*